

9-4-01

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new non-provisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 09792909-5123
	First Named Inventor or Application Identifier Koichiro Kishima
	Express Mail Label No: EL8345573404US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Specification Total Pages <u>38</u>	5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation)
2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) Total Pages <u>6</u>	6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c).
3. <input checked="" type="checkbox"/> Declaration and Power of Attorney Total Pages <u>2</u>	7. <input type="checkbox"/> English Translation Document to follow (if applicable)
a. <input checked="" type="checkbox"/> Unexecuted(original or copy)	8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d)) (for continuation/divisional with Box 14 completed)	9. <input checked="" type="checkbox"/> Preliminary Amendment
[Note Box 4 Below]	10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Status still proper and desired
4. <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	12. <input checked="" type="checkbox"/> Certified copy of Japanese priority document No. P2000-263497 filed August 31, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED					
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
	TOTAL CLAIMS	54	34	\$18.00	612.00
	INDEPENDENT CLAIMS	2		80.00	0.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		270.00	270.00
				TOTAL FEE	\$1,592.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$1,592.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE David R. Metzger
David R. Metzger (Reg. No. 32,914)

Date: August 30, 2001

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EXPRESS MAIL #EL834557404

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834557404US

Date of Deposit: August 30, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Koichiro Kishima

Attorney Docket No. 09792909-5123

\$1,592.00 filing fee (54 claims - 2 independent w/multiple)

Drawings (6 sheets - Figs. 1-12)

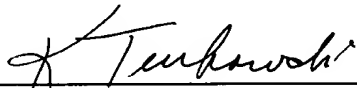
Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-263497

Return postcard



Signature of Person Mailing Application and Fees

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August 30, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"OPTICAL RECORDING MEDIUM AND ITS MANUFACTURING
METHOD"
Applicant(s) Koichiro Kishima
Attorney Docket No. 09792909-5123

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 54 claims (2 independent w/multiple), 6 sheets of drawings (Figs. 1-12) and the
filing fee of \$1,592.00 on behalf of

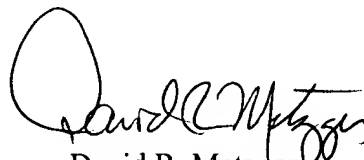
KOICHIRO KISHIMA

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:


David R. Metzger

DVM:kat
Enclosures